### PATENT COOPERATION TREATY

From the INTERNATIONAL SEARC	HING AUTHORITY	PCT			
To: ROCHE DIAGNOSTICS GMBH Attn. Schreiner, Siegfri Patent Department (TR-E) P.O. Box 11 52 D-82372 Penzberg	1				
GERMANY	ASK 1 9. JUNI 2005	1/00	CT Rule 44.1)		
	BUR HH HIL M	Date of mailing (day)/month/year) 19/0	06/2006		
Applicant's or agent's file reference		FOR FURTHER ACTION	See paragraphs 1 and 4 below		
International application No. PCT/EP2005/003348		International filing date (day/month/year) 31/0	03/2005		
Applicant  F.HOFFMANN-LA ROCHE AG					
1. X The applicant is hereby notified that the international search report and the written opinion of the International Searching Authority have been established and are transmitted herewith.  Filling of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46): When? The time limit for filing such amendments is normally two months from the date of transmittal of the International Search Report.  Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes 1211 Geneva 20, Switzerland, Fascimile No.: (41–22) 338.82.70  For more detailed instructions, see the notes on the accompanying sheet.  2. The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith.  3. With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:  the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.  no decision has been made yet on the priority date, the international application will be published by the International Bureau. If the applicant willshes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.3, respectively, before the completion of the technical preparations for international publication.  The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or					
Name and mailing address of the International European Patent Office, P.E. NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx Fax: (+31-70) 340-3016	3. 5818 Patentlaan 2	Authorized officer Joëlle Gerber			

### **NOTES TO FORM PCT/ISA/220**

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the *PCT Applicant's Guide*, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report and the written opinion of the International Searching Authority, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only (see *PCT Applicant's Guide*, Annexes B1 and B2).

The attention of the applicant is drawn to the fact that amendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see *PCT Applicant's Guide*, Volume I/A, paragraph 296).

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

#### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

### What documents must/may accompany the amendments?

### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

### PATENT COOPERATION TREATY

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Patent Department (TR-E)						
Case <b>22393</b>		I				
Literatur erfasst:	Zeichen: Datum:	I				
Lit sheet Endnote	77W 27 060	Į				

# **PCT**

# Roche Diagnostica GmbH

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# INTERNATIONAL SEARCH REPORT (PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER	see Form PCT/ISA/220
20202 (10.175)	ACTION as well as, where applicable, item 5 below.	
International application No.	International filing date (day/mont	h/year) (Earliest) Priority Date (day/month/year)
PCT/EP2005/003348	31/03/2005	01/04/2004
Applicant		
F.HOFFMANN-LA ROCHE AG	<u> </u>	
This International Search Report has been according to Article 18. A copy is being tra		rching Authority and is transmitted to the applicant u.
This International Search Report consists	of a total of 8 sh	eets.
X It is also accompanied by	a copy of each prior art document of	cited in this report.
Basis of the report		
	international search was carried out less otherwise indicated under this i	t on the basis of the international application in the tem.
The international this Authority (Ru		of a translation of the international application furnished to
1.		e disclosed in the international application, see Box No. I.
2. Certain claims were fou	nd unsearchable (See Box II).	
3. Unity of invention is lac	king (see Box III).	
4. With regard to the <b>title</b> ,		
the text is approved as su	ibmitted by the applicant.	•
X the text has been establis	shed by this Authority to read as follo	ows:
CYCLODEXTRIN INCLUSION	S COMPLEXES OF PYRIM	IDINE-2,4,6-TRIONES
5. With regard to the abstract,		
	ubmitted by the applicant.	
		this Authority as it appears in Box No. IV. The applicant ational search report, submit comments to this Authority.
6. With regard to the drawings,		No. 3
a. the figure of the drawings to be	- -	NO. <u>1</u>
X as suggested by		failed to suggest a figure
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	be published with the abstract.	C. Statustonizoo dio fiftonidon.
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PCT/EP2005/003348

Box No. IV Text of the abstract (Continuation of item 5 of the first sheet)

-It-was-surprisingly found that a trioxopyrimidine-eyelodextrin complex formed of a trioxopyrimidine derivative represented by the below-described formula (I) and a water-soluble cyclodextrin (further abbreviated as CD) exhibits enhanced water solubility, excellent stability, and low topical stimulation and is useful as a therapeutic agent.

Accordingly, the present invention provides a trioxopyrimidine-cyclodextrin complex formed of a trioxopyrimidine derivative or a salt thereof and a cyclodextrin, preferably  $\alpha$ -,  $\beta$ - or  $\gamma$ -cyclodextrin or a water-soluble cyclodextrin derivative (water-soluble being defined as a solubility of at least 0.5 gr/100ml water at 25°C), wherein the trioxopyrimidine derivative is represented by formula (I).

$$0 \xrightarrow{R^1} \overset{N}{\underset{O}{\bigvee}} 0 \xrightarrow{R^2}$$

$$(1)$$

It was furthermore found that such a trioxopyrimidine complex with cyclodextrin and an adjuvant such as L-lysine or L-arginine show improved water solubility and bioavailability, excellent stability, and low topical stimulation and is useful as a therapeutic agent. Accordingly, the present invention provides a trioxopyrimidine-

International Application No PCT/EP2005/003348

CLASSIFICATION OF SUBJECT MATTER VV. A61K47/48 A61K3 A61K31/198 A61K47/18 A61P11/06 A61K31/515 INV. According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) A61K Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, BIOSIS, EMBASE, CHEM ABS Data, DISSERTATION ABS, CANCERLIT C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages Category ° WO 01/25217 A (HOFFMANN LA ROCHE) 1 - 10Υ 12 April 2001 (2001-04-12) cited in the application examples 1,3.1,3.7,3.15WO 97/23465 A (BOEHRINGER MANNHEIM GMBH; 1 - 10Υ BOSIES ELMAR (DE); ESSWEIN ANGELIKA (DE); G) 3 July 1997 (1997-07-03) cited in the application example 26 Patent family members are listed in annex. Further documents are listed in the continuation of box C. ° Special categories of cited documents: \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the 'A' document defining the general state of the art which is not considered to be of particular relevance invention \*E\* earlier document but published on or after the international \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date involve an inventive step when the document is taken alone document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) 'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. \*O\* document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 19/06/2006 2 June 2006 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Dullaart, A Fax: (+31-70) 340-3016

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International Application No
PCT/EP2005/003348

- ( -	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to claim No.
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Helevant to claim No.
Υ	GRAMS F ET AL: "PYRIMIDINE-2,4,6-TRIONES: A NEW EFFECTIVE AND SELECTIVE CLASS OF MATRIX METALLOPROTEINASE INHIBITORS" BIOLOGICAL CHEMISTRY, vol. 382, no. 8, August 2001 (2001-08), pages 1277-1285, XP008009641 ISSN: 1431-6730 cited in the application the whole document	1-10
Y	WO 02/089824 A (HOFFMANN LA ROCHE ; FRIESS THOMAS (DE); SCHEUER WERNER (DE); KRELL HAN) 14 November 2002 (2002-11-14) figures tables claims	1-10
Y	FOLEY L H ET AL: "Novel 5,5-disubstitutedpyrimidine-2,4,6-triones as selective MMP inhibitors" BIOORGANIC & MEDICINAL CHEMISTRY LETTERS, OXFORD, GB, vol. 11, no. 8, 23 April 2001 (2001-04-23), pages 969-972, XP002271775 ISSN: 0960-894X the whole document	1-10
Y	MASAHIKO SUZUKI ET AL: "A STUDY OF 1:1 PLUS 1:2 COMPLEXES BETWEEN BARBITURATE AND CYCLODEXTRIN USING THE FREEZING POINT DEPRESSION METHOD" CHEMICAL AND PHARMACEUTICAL BULLETIN, TOKYO, JP, vol. 41, no. 8, 1 August 1993 (1993-08-01), pages 1444-1447, XP000395593 ISSN: 0009-2363 abstract tables 1,2 page 1447	1-10
Υ	WO 00/40962 A (KOSAK KEN M) 13 July 2000 (2000-07-13) claim 1	1-10
Y	JOZSEF SZEJTLI: "CYCLODEXTRIN TECHNOLOGY" 1988, KLUWER ACADEMIC PUBLISHERS, DORDRECHT, NL, XP001194813 page 186 - page 306	1-10

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Category °	ation) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Y	LOUKAS YANNIS L: "Quantitative structure-binding relationships (QSBR) and artificial neural networks: Improved predictions in drug: Cyclodextrin inclusion complexes" INTERNATIONAL JOURNAL OF PHARMACEUTICS (KIDLINGTON), vol. 226, no. 1-2, 11 September 2001 (2001-09-11), pages 207-211, XP002297397 ISSN: 0378-5173 abstract tables figure 2	1-10
Υ	AKI HATSUMI ET AL: "Multimodal inclusion complexes between barbiturates and 2-hydroxypropyl-beta-cyclodextrin in aqueous solution: Isothermal titration microcalorimetry, 13C NMR spectrometry, and molecular dynamics simulation" JOURNAL OF PHARMACEUTICAL SCIENCES, vol. 90, no. 8, August 2001 (2001-08), pages 1186-1197, XP002297398 ISSN: 0022-3549 abstract figures tables	1-10
Y	LOPATA A ET AL: "Quantitative structure-stability relationships among inclusion complexes of cyclodextrins I: Barbituric acid derivatives" JOURNAL OF PHARMACEUTICAL SCIENCES 1985 UNITED STATES, vol. 74, no. 2, 1985, pages 211-213, XP001194803 abstract page 211, right-hand column table 1	1-10

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	IWAOKU R ET AL: "Enhanced absorption of phenobarbital from suppositories containing phenobarbital-[beta]-cyclodextrin inclusion complex" CHEMICAL AND PHARMACEUTICAL BULLETIN 1982 JAPAN, vol. 30, no. 4, 1982, pages 1416-1421, XP001194804 abstract page 1416, paragraph MATERIALS figures page 1419, last paragraph - page 1420,	1-10
Y	last line  CSABAI KATALIN ET AL: "Interaction of some barbituric acid derivatives with hydroxypropyl-beta-cyclodextrin" INTERNATIONAL JOURNAL OF PHARMACEUTICS, vol. 91, no. 1, 1993, pages 15-22, XP001202117 AMSTERDAM, NL ISSN: 0378-5173 abstract tables figures page 20, right-hand column - page 21, right-hand column, last line	1-10
Υ	LEIN, MICHAEL ET AL: "The new synthetic matrix metalloproteinase inhibitor (roche 28-2653) reduces tumor growth and prolongs survival in a prostate cancer standard rat model" ONCOGENE, vol. 21, no. 3, 2002, pages 2089-2096, XP002297399 ISSN: 0950-9232 the whole document	1-10
Y	WO 00/37109 A (EUPHAR GROUP S.R.L; CORVI MORA, PAOLO) 29 June 2000 (2000-06-29) examples claims	1-10
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International Application No
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Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	PIEL G ET AL: "Study of the influence of both cyclodextrins and L-Lysine on the aqueous solubility of nimesulide; isolation and characterization of nimesulide-L-Lysine-Cyclodextrin complexes" JOURNAL OF PHARMACEUTICAL SCIENCES, vol. 86, no. 4, 1997, pages 475-480, XP002383359 ISSN: 0022-3549 abstract page 478, right-hand column, paragraph DISCUSSION - page 479, right-hand column, last line; table 3	1-10
Y	MURA P ET AL: "Ternary systems of naproxen with hydroxypropyl-[beta]-cyclodextrin and aminoacids"  INTERNATIONAL JOURNAL OF PHARMACEUTICS 24 JUL 2003 NETHERLANDS, vol. 260, no. 2, 24 July 2003 (2003-07-24), pages 293-302, XP002383360  ISSN: 0378-5173 abstract page 295; table 1 page 300, right-hand column, paragraph CONCLUSION - page 301, right-hand column	1-10
Ρ,Υ	MURA P ET AL: "Solid-state characterization and dissolution properties of Naproxen-Arginine-Hydroxypropyl-[beta]-cyc lodextrin ternary system" EUROPEAN JOURNAL OF PHARMACEUTICS AND BIOPHARMACEUTICS, vol. 59, no. 1, 2005, pages 99-106, XP002383361 ISSN: 0939-6411 abstract page 105; table 1	1-10
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			PT	1018340 T	31-12-2003	

# **PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

То:		PCT			
see form PCT/ISA/220		WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORIT (PCT Rule 43 <i>bis</i> .1)			
_		Date of mailing (day/month/year) se	ee form PCT/ISA/210 (second sheet)		
Applicant's or agent's file reference see form PCT/ISA/220		FOR FURTHER See paragraph 2 belo			
International application No. PCT/EP2005/003348	International filing date (31.03.2005	day/month/year)	Priority date (day/month/year) 01.04.2004		
International Patent Classification (IPC INV. A61K47/48 A61K31/515 A					
Applicant F.HOFFMANN-LA ROCHE AG					
□ Box No. IV Lack of uning Box No. V Reasoned applicability □ Box No. VI Certain doc □ Box No. VII Certain def □ Box No. VIII Certain obsequence of the laternation	shment of opinion with regard of invention statement under Rule 43 bistic citations and explanations uments cited ects in the international appearations on the internation or eliminary examination is recorded by the considered to be a very some property of the considered to be a very some portion or the considered to be a very s	n with regard to novelty, inventive step and industrial applicability Rule 43 <i>bis</i> .1(a)(i) with regard to novelty, inventive step or industrial planations supporting such statement tional application			
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Name and mailing address of the ISA:	Date of co	•	rized Officer		
European Patent Office - NL-2280 HV Rijswijk - Pa Tel. +31 70 340 - 2040 T Fax: +31 70 340 - 3016	hart, A hone No. +31 70 340-3290				